

10/576761

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PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant: Konstantinos Gavardinas

For: TRICYCLIC STEROID HORMONE NUCLEAR RECEPTOR
MODULATORS

Docket No.: X-16534

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) and provides a copy of documents BA-BG and reference CA for consideration by the Examiner.

Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

Applicants request consideration of this information.

Respectfully submitted,

Alexander Wilson

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Eli Lilly and Company
Patent Division
P.O. Box 6288
Indianapolis, Indiana 46206-6288
14 April 2006

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| FORM PTO 1449 (modified) | | Atty. Docket No. X-16534 | Serial No. 10/576761 |
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | First Applicant Konstantinos Gavardinis | Group |
| | | Filing Date | |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. 1 | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|------------|---|--------------------------------|--|--|
| | AA | US 4,999,363 | March 12, 1991 | Oshima, et al. | |
| | AB | US 5,024,912 | June 18, 1991 | Neishi, et al. | |
| | AC | US 5,093,210 | March 3, 1992 | Ohta, et al. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. 1 | Foreign Patent Document Country Code ³ -Number Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|------------|---|--------------------------------|--|---|----------------|
| | BA | WO 2004/052847 | June 24, 2004 | Eli Lilly and Company | | |
| | BB | WO 03/078394 | September 25, 2003 | Eli Lilly and Company | | |
| | BC | WO 03/053358 | July 3, 2003 | Bristol-Myers Squibb Co. | | Yes |
| | BD | JP04046352 | February 17, 1992 | Minolta Camera Co. | | Yes |
| | BE | JP01161245 | June 23, 1989 | Canon, Inc. | | |
| | BF | WO99/33786 | July 8, 1999 | Ortho-McNeil Pharmaceutical | | |
| | BG | WO 00/059884 | October 12, 2000 | Dana-Farber Cancer Institute | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published. | T ⁶ |
|--------------------|------------|--|----------------|
| | CA | Cheng, et al., "Synthesis and Structure-Activity Relationships of 9-Substituted Acridines as Endothelin-A Receptor Antagonists," <i>Bioorganic and Medicinal Chemistry Letters</i> , Vol. 6, No. 24, pgs. 2999-3002 (1996) | |
| | CB | | |

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|---|-----------------|
| Examiner Signature | Date Considered |
| <p>¹ Applicant's unique citation designation number (optional). ² See Kind- Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the form with next communication to applicant.</p> <p>⁴ For Japanese patent documents, the indication of the year of the receipt of the report of the inventor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.</p> <p>Bureau Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.</p> <p>Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> | |